

SWIM and Horizon 2020 Support Mechanism

Working for a Sustainable Mediterranean, Caring for our Future

Regional on-site training and study tour on “Drought Risk Management Mainstreaming” (REG-7 and ST-6)

Presented by:

Prof. George TSAKIRIS, Water Resources Engineer

24-27 September 2018, Murcia, Spain

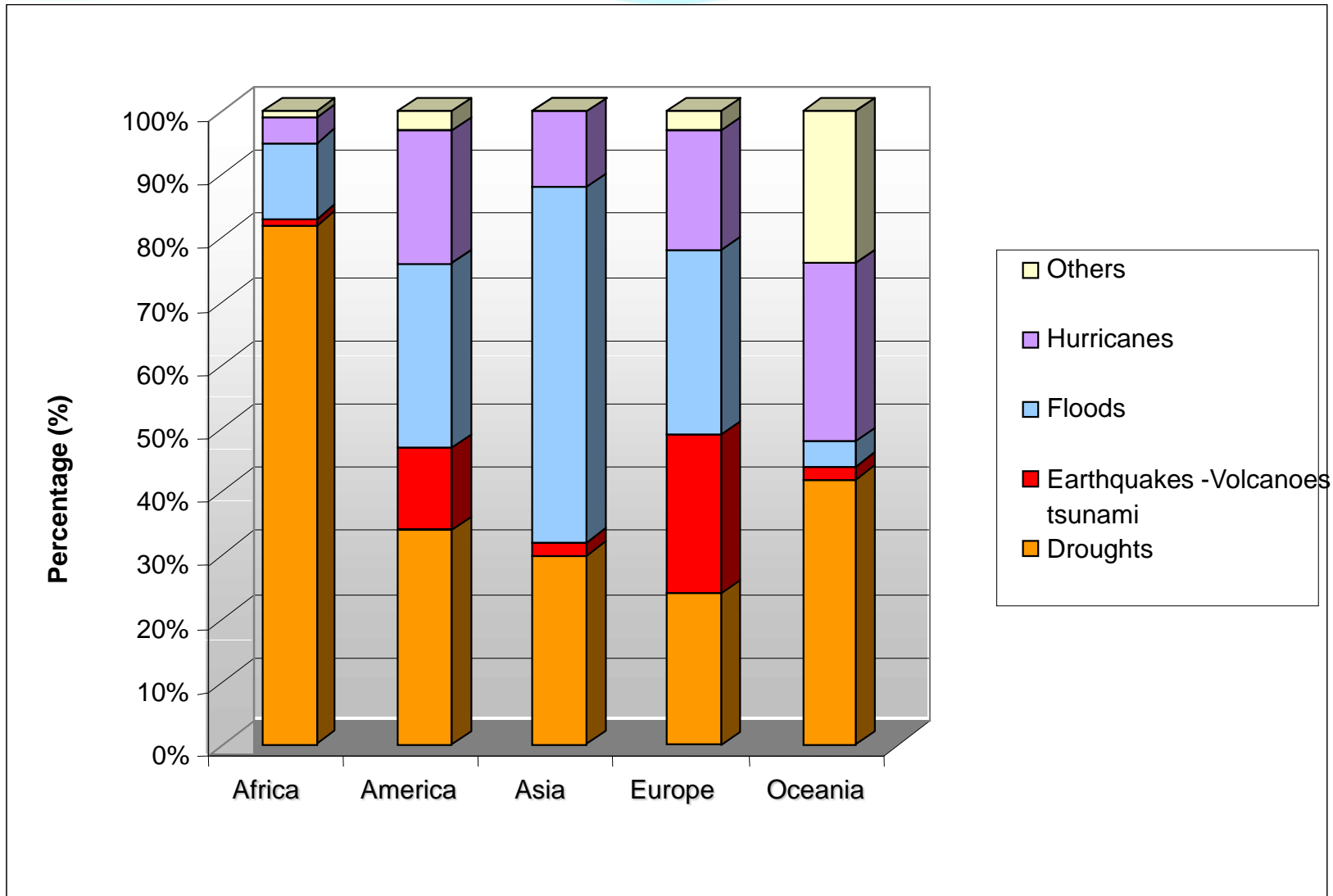
This Project is funded by the European Union



ENVIRONMENTAL AGENCY AUSTRIA **umweltbundesamt**[®]

ATKINS

Natural Hazards



Droughts in Africa

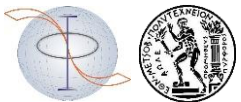




Το σπήλαιό στην είσοδο του
ουράσιου στην βουνό
φορτωμένων φιάλων στην
περιοχή Τούμα, στο
Φεσό της Σενεγάλης, το
1978. Ανάμεσα με εδόν
μύριοι προσπαθούν να
πάρουν λίγο νερό για
τις οικογένειές τους.

Droughts as Natural Hazards

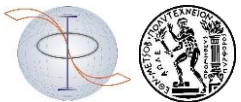
- affect most parts of the world
 - more frequent and intense in the future
 - do not occur abruptly
- possible to devise management plans in advance



Outline of Presentation

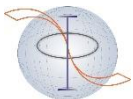
OUTLINE:

- **clarification of related concepts**
- **drought severity assessment**
- **vulnerability-risk evaluation approach**
- **preparedness & contingency planning**



Concepts of Water Stress/Scarcity

Regime	Causes	
	Natural	Human Induced and Natural
Permanent	Aridity	Desertification
Temporary	Drought	Water Shortage



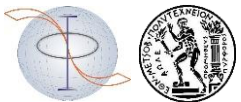
Water Stress

Aridity

natural imbalance of water availability characterising the climatic conditions of a region

Drought

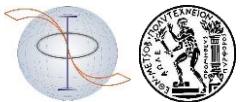
natural but temporary imbalance of water availability caused mainly by low precipitation



Drought Characteristics

- Water availability falls below a critical threshold
 - Considerable time period below this threshold
 - Large area affected
-

Characteristics: intensity,
total magnitude,
duration,
areal extent

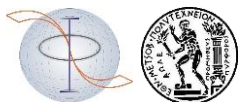
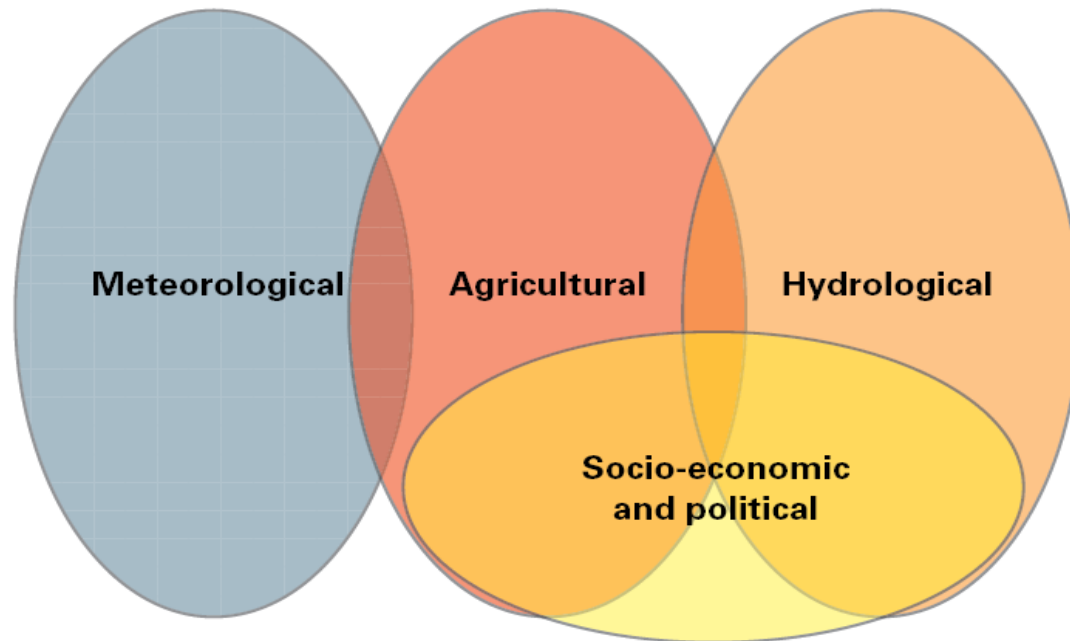


Types of drought

Meteorological

Agricultural / Vegetation

Hydrological



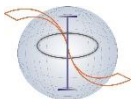
National Technical University of Athens

Centre for the Assessment of Natural Hazards and Proactive Planning



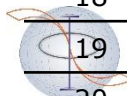
Monitoring of Drought

Drought type	Water availability variable
Meteorological	precipitation, precipitation and evapotranspiration
Hydrological	streamflow, reservoir storage, aquifer storage
Agricultural/ Vegetation	soil moisture



Drought Indices

n	Drought Index	Abbreviation
1	Aggregate Drought Index (ADI)	ADI
2	Agricultural Drought Index	DTx
3	Anomaly of Normalized Difference Vegetation Index	NDVIA
4	Base Flow Index	BFI
5	Bhalme and Mooley Drought Index	BMDI
6	Colorado Palmer Drought Index	CPDI
7	Consecutive Dry Days	CDD
8	Corn Drought Index	CDI
9	Crop Moisture Index	CMI
10	Crop Specific Drought Index	CSDI
11	Cumulative Precipitation Anomaly	CPA
12	Cumulative Streamflow Anomaly	CSA
13	Deciles	DECILES
14	Drought Area Index	DAI
15	Drought Frequency Index	DFI
16	Drought Severity Index	DSI
17	Effective Drought Index	EDI
18	Evapotranspiration Deficit Index	ETDI
19	Foley Drought Index	FDI
20	Global Vegetation Water moisture Index	GVWI



Drought Indices

Soil Water Balance

PDSI - Palmer Drought Severity Index

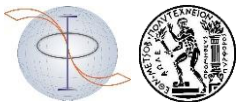
Precipitation

SPI - Standardized Precipitation Index

Precipitation & Evapotranspiration

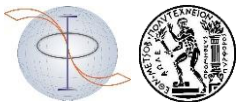
RDI - Reconnaissance Drought Index

SPEI - Standardized Precipitation and Evaporative Index



Reconnaissance Drought Index (RDI)

- Incorporates precipitation and potential evapotranspiration (PET)
- Suitable for use in climate change conditions
- Temperature methods for estimating PET are considered sufficient for the calculation of RDI, in several regions
- Appropriate for the assessment of meteorological and agricultural drought
- Potential use:
 - early estimation of drought impacts on crop yield
 - planning and taking supporting measures (e.g., timely arrangement of imports) against drought in the agricultural sector ensuring food security
 - supporting insurance companies and related organisations for covering the production losses of the affected farmers



Reconnaissance Drought Index (RDI)

Initial value of RDI (α)

$$\alpha_k^{(i)} = \frac{\sum_{j=1}^k P_{ij}}{\sum_{j=1}^k PET_{ij}}, \quad i=1(1)N \text{ and } j=1(1)k$$

Standardised RDI (RDI_{st})

Gamma distribution

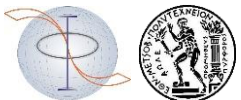
$$RDI_{st}^{(i)} = \frac{y^{(i)} - \bar{\mu}}{\hat{\sigma}}, \quad \text{where } y^{(i)} = \ln(RDI_n^{(i)})$$

Composite cumulative
distribution function:

$$H(x) = q + (1 - q)G(x)$$

RDI _{st} value	Category
2.00 or more	Extremely wet
1.50 to 1.99	Severely wet
1.00 to 1.49	Moderately wet
0 to 0.99	Normal conditions - wet
0 to -0.99*	Normal conditions - dry
-1.00 to -1.49	Moderate drought
-1.50 to -1.99	Severe drought
-2 or less	Extreme drought

* values from -0.50 to -0.99 may be considered as a different category characterized as 'Mild drought'



DrinC software

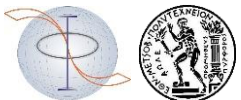
The screenshot displays the DrinC software interface with several windows open:

- File Management:** Shows input files for Precipitation data (mm), PET data (mm), and Streamflow data (mm).
- Indices:** Shows settings for calculating Deciles, SPI, RDI, and RDI12m.
- Data Tables:** Displays a table of monthly data for various years (1955-1985) across months (Oct to Sep) and an Annual column.
- Drought monitoring:** Shows a window for RDI calculation with the formula $RDI_{it} = c - \ln(\alpha_t) + b$ and calculated constants.
- Calculate PET:** Shows settings for calculating Potential Evapotranspiration (PET) using the Hargreaves method.

Data Tables Table:

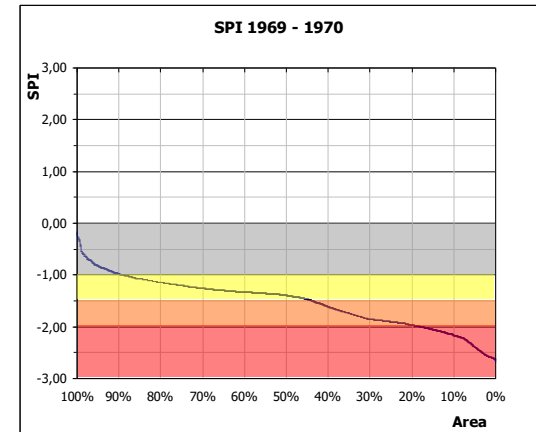
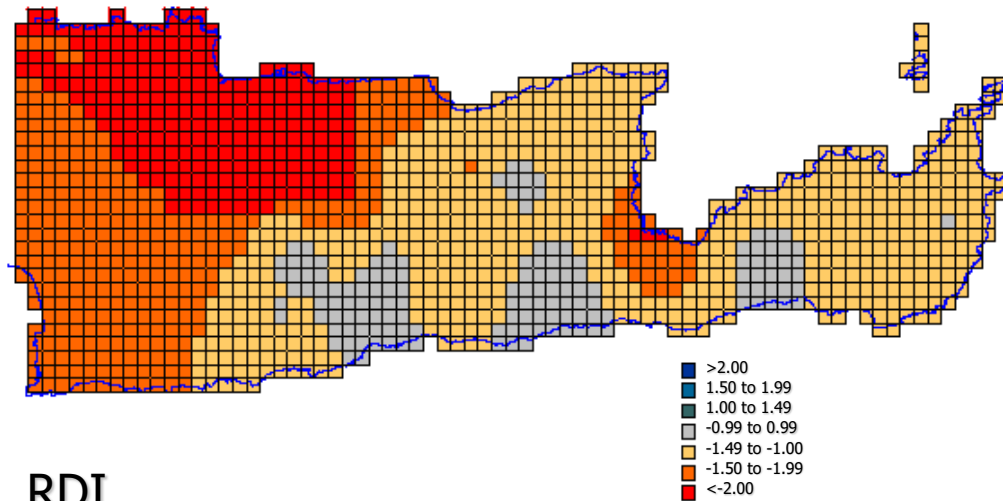
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Annual
1955-56	50.0	143.7	93.4	76.8	113.0	44.5	5.4	24.5					551.3
1956-57	11.4	17.6	76.2	221.8	3.5	72.6	32.6	22.3	14.9				512.1
1957-58	93.0	82.3	56.8	74.6	1.4	27.0	8.6	12.0	5.1	2.6			434.5
1958-59	25.5	31.6	100.0	75.2	17.1	8.6	14.7	6.1	6.3	5.3			294.4
1959-60	138.3	19.9											
1960-61	0.2	28.2											
1961-62	145.5	5.9											
1962-63	272.6	15.4											
1963-64	170.3	13.1											
1964-65	21.1	72.4											
1965-66	20.2	3.7											
1966-67	6.5	25.9											
1967-68	174.9	34.4											
1968-69	175.4	130.9											
1969-70	42.0	12.5											
1970-71	32.4	29.2											
1971-72	57.4	66.1											
1972-73	109.9	10.5											
1973-74	48.8	47.4											
1974-75	11.8	34.4											
1975-76	35.9	55.1											
1976-77	115.8	123.1											
1977-78	53.8	12.8											
1978-79	89.5	25.2											
1979-80	23.7	145.7											
1980-81	88.6	11.0											
1981-82		154.4											
1982-83	0.3	37.8											
1983-84	64.4	140.5											
1984-85	4.1	134.7											

<http://drinc.ewra.net>

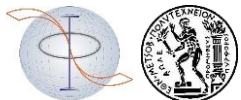
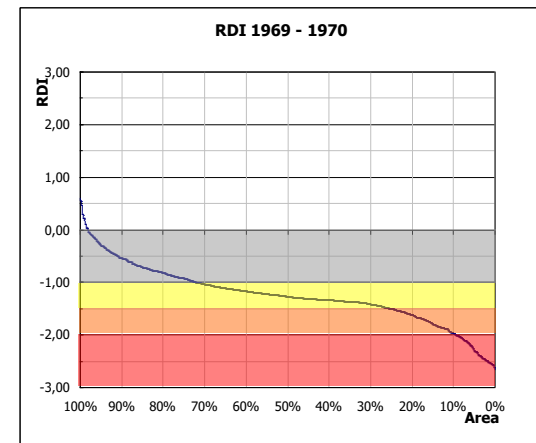
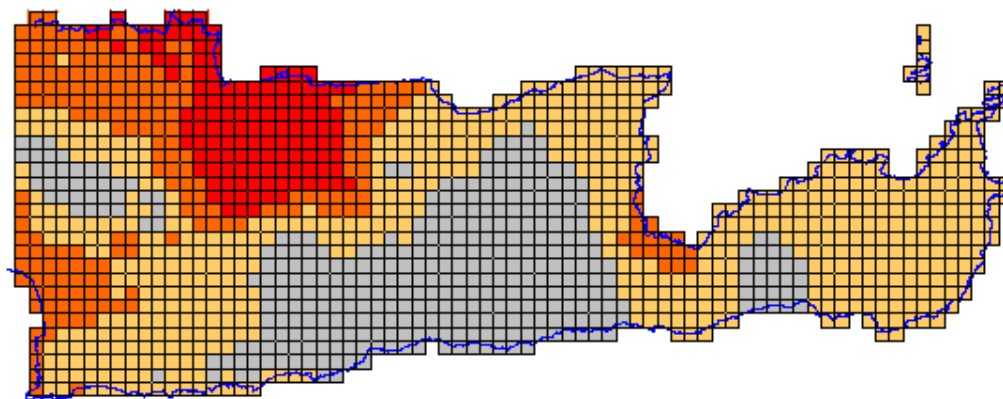


Monitoring Drought

SPI

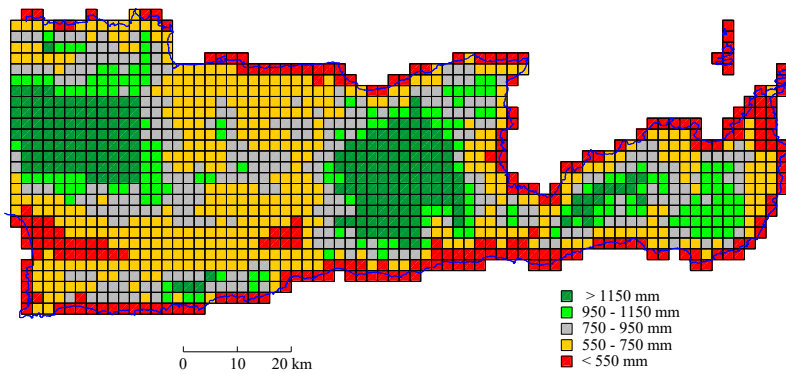


RDI

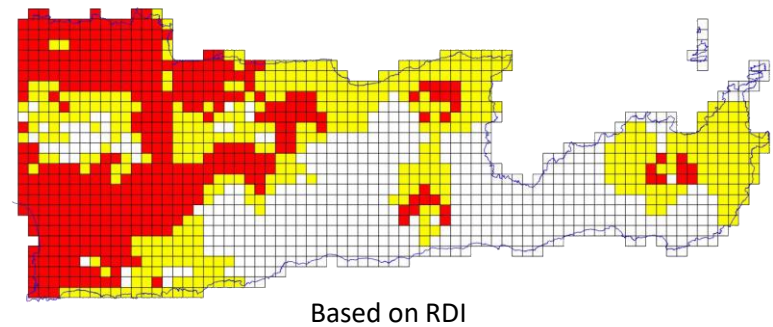
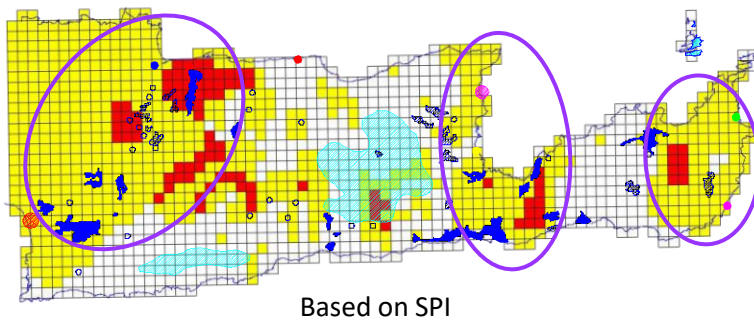


Drought Prone Areas

Distribution of Mean Annual Precipitation



Drought Prone Areas

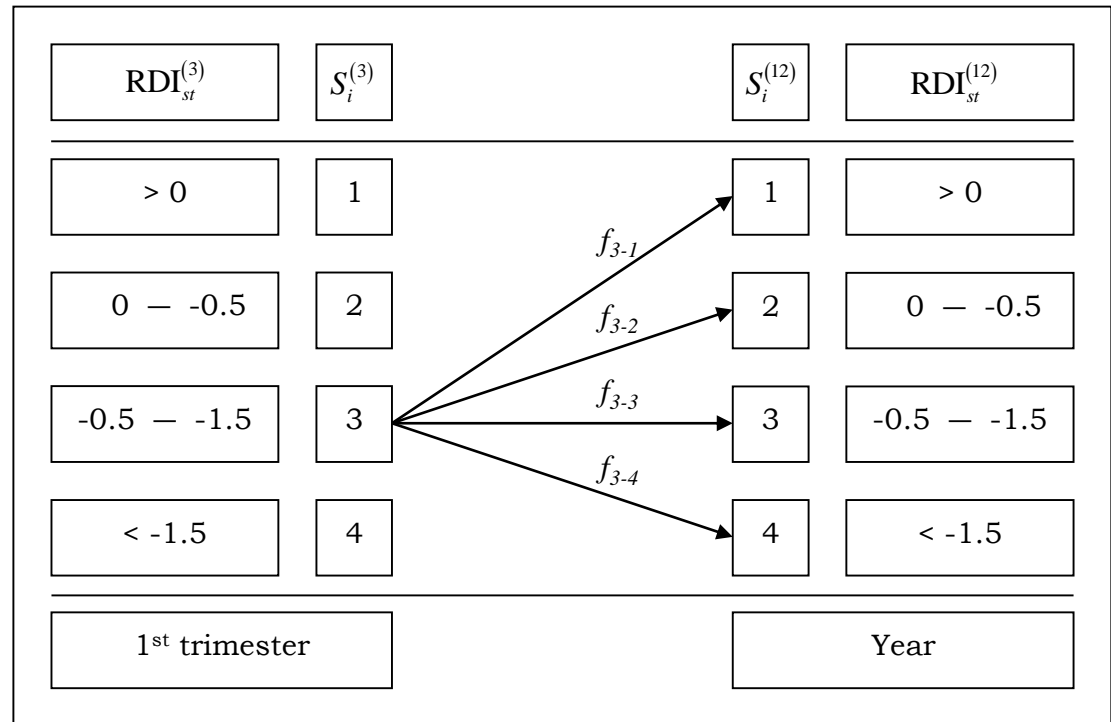


Forecasting System

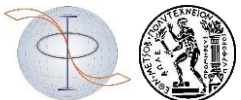
$$S_i^{(3)} = \left\{ \text{class of RDI}_{st}^{(3)} (i) \right\} \quad i = 1(1)4$$

Transition probabilities

$$\left. \begin{array}{cccc} f_{1-1} & f_{1-2} & f_{1-3} & f_{1-4} \\ f_{2-1} & f_{2-2} & f_{2-3} & f_{2-4} \\ f_{3-1} & f_{3-2} & f_{3-3} & f_{3-4} \\ f_{4-1} & f_{4-2} & f_{4-3} & f_{4-4} \end{array} \right\}$$

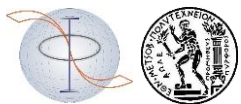
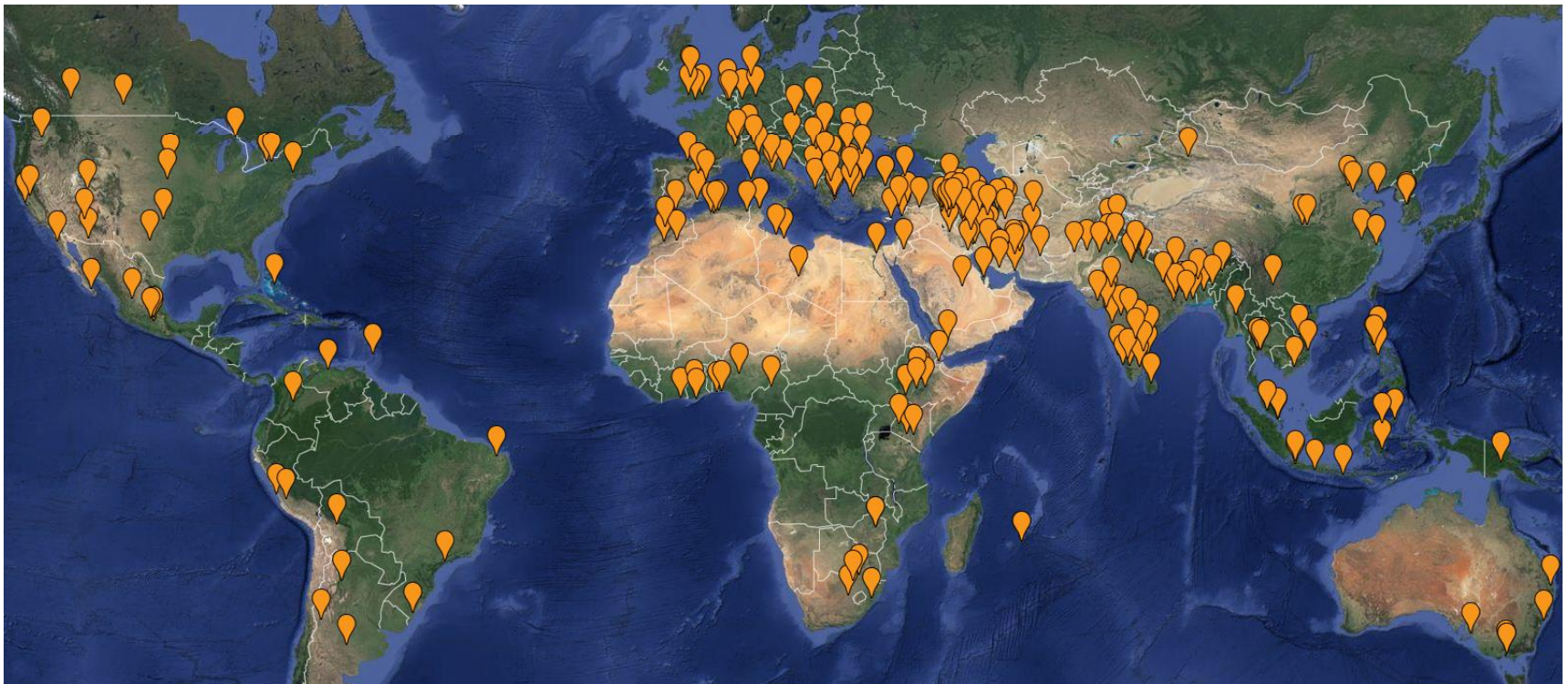


$$\sum_{i=1}^4 f_{1-i} = \sum_{i=1}^4 f_{2-i} = \sum_{i=1}^4 f_{3-i} = \sum_{i=1}^4 f_{4-i} = 1$$



Assessing Drought Severity

DrinC (PDSI, Deciles, SPI, RDI, - eRDI)



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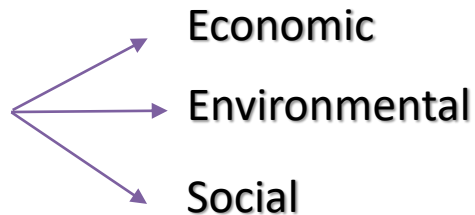
Impacts of Droughts

Drought hazard

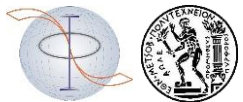
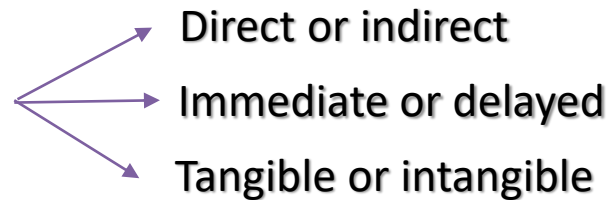
Vulnerability of affected system

System drought risk

Impacts



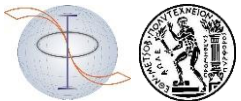
Impacts



Principal methodologies

The DPSIR framework

The Risk approach



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DPSIR framework

Driver:

activity which may have an environmental impact (e.g., industry)

Pressure:

direct effect of the driver (e.g., pollution of the system)

State:

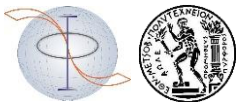
physical, chemical and biological status of the system

Impact:

effect of the pressure (e.g., fish deaths)

Response:

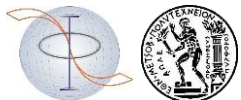
measures taken to improve the state of the system



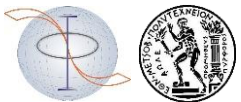
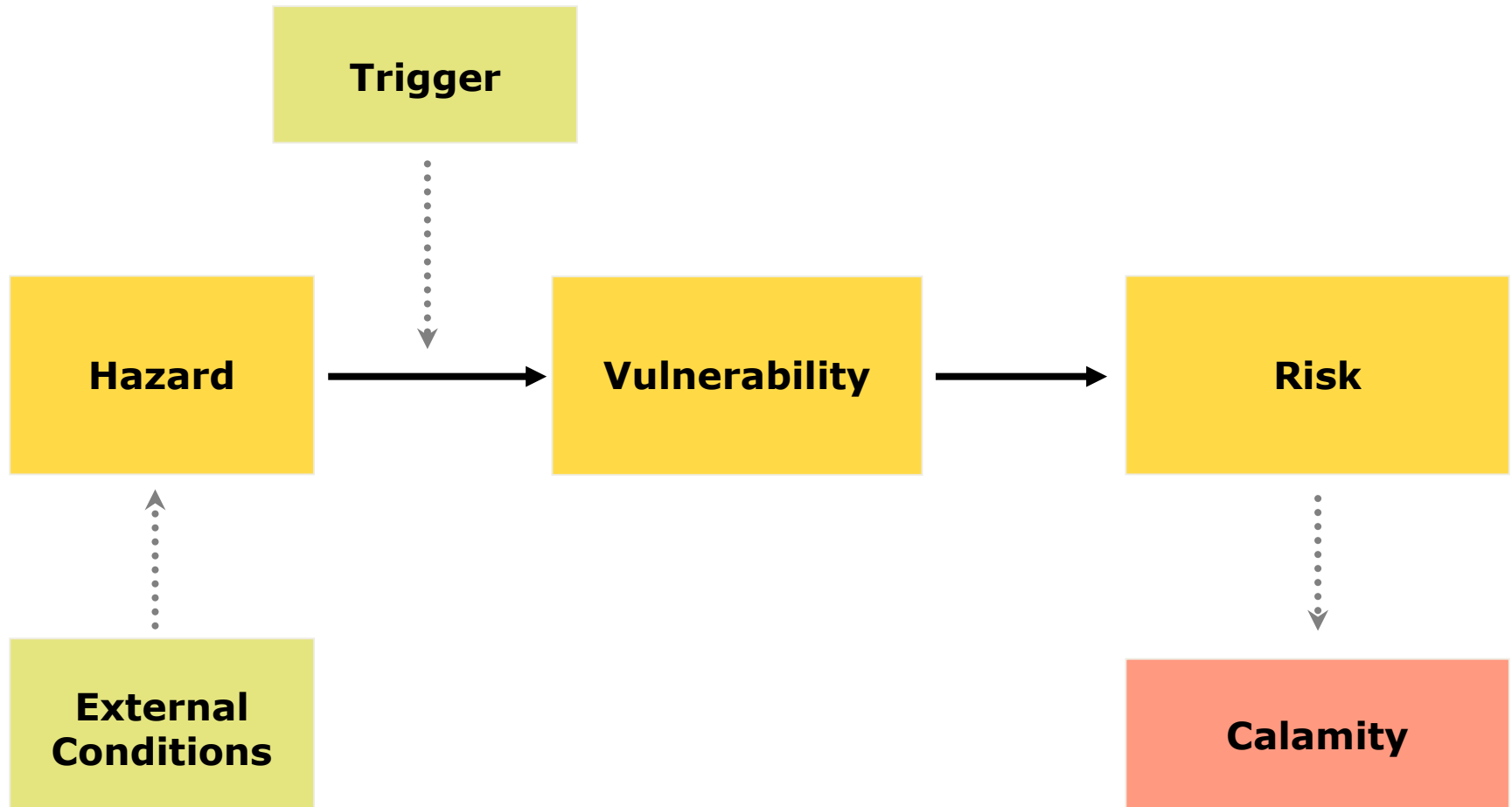
DPSIR in Droughts

Driver – Pressure – State – Impacts – Response

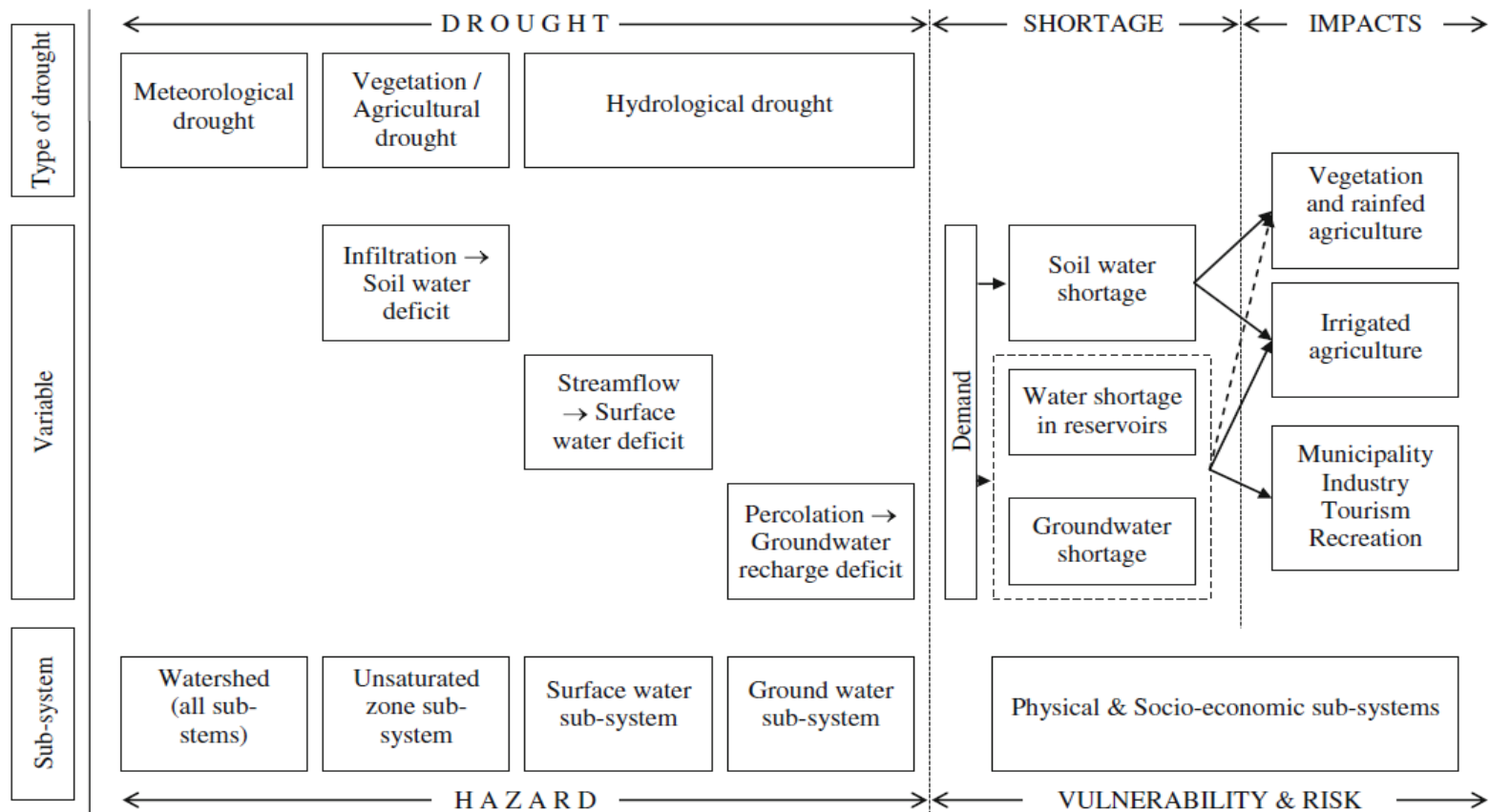
Driver	Pressure	State	Impacts
Meteorological Drought	Hydrological drought	Low storage in water supply systems	Hardship (municipalities) Less revenue (Industry-Tourism Irrigated agriculture)
	Agricultural drought	Low soil moisture in the root zone of the crops	Less production Less revenue (Rainfed agriculture)



Risk Approach



Drought and Water Shortage Risk Analysis

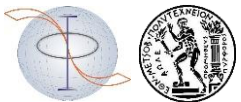


Annualised Risk Assessment

annualised risk

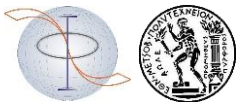
$$R(D) = \int_0^T x \cdot V(x) \cdot f_D(x) dx$$

in which $V(x)$ is a 1-1 function between 1 and 0
 x is the potential consequence
 $f(x)$ is the relative frequency of occurrence



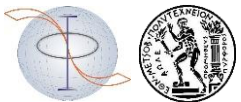
Tasks & Options to Combat Drought

- **Severity Assessment – Transparency**
- **Demand Reduction Measures**
- **System Improvements**
- **Emergency Water Supplies**
- **Prioritisation of Water Demands**



A. Severity Assessment- Transparency

- a. Frequent information of water shortage severity**
- b. Meetings and public discussions**
- c. Task force establishment**
- d. Analysis of demand and efficiency**
- e. Preparation of options and responsibilities**
- f. Targets in various uses**
- g. Official request to central government and/or EU**
- h. Planning of employees' vacations**



B. Demand Reduction Measures (1)

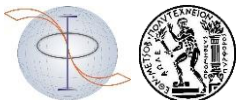
a. Public learning campaign appeals for voluntary conservation from

- i. Farmers
- Industrialists
- Touristic agents
- Public

- ii. Bounces and incentives

b. Free distribution/ installation of particular water saving devices

- i. Extensive installation of water meters (in all types of systems)
- ii. Low-flow showerheads
- iii. Shower flow restrictions
- iv. Toilet dams
- v. Displacement devices
- vi. Pressure-reducing valves



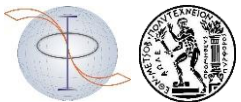
B. Demand Reduction Measures (2)

c. Restrictions on non essential uses:

- i. Street flushing
- ii. Pavement hosing
- iii. Car washing
- iv. Lawn sprinkling
- v. Filling of swimming pools
- vi. Water cooled air conditioning without re-circulation
- vii. Public fountains
- viii. Park irrigation
- ix. Irrigation of golf courses
- x. Irrigation of perennial and drought resistant crops

d. Prohibition of selected commercial and institutional uses:

- i. Car washes
- ii. School showers
- iii. Irrigation of non important plants



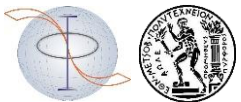
B. Demand Reduction Measures (3)

e. Drought emergency pricing:

- i. Irrigation water charge per volume
- ii. Drought rate (special extra charge for irrigation)
- iii. Drought surcharge on total water bills
- iv. Summer use charge

f. Rationing programmes

- i. Per area and crop allocation of irrigation water
- ii. Per capita allocation of residential use
- iii. Per household allocation of residential use
- iv. Prior use allocation of residential use
- v. Percent reduction of commercial and institutional use
- vi. Percent reduction of industrial use
- vii. Complete closedown of industries and commercial establishments with heavy use of water



C. System Improvements

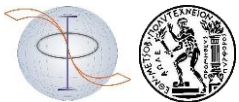
a. Raw water sources

b. Water treatment plant

c. Distribution system:

- i. Reduction of system pressure to minimum possible levels
- ii. Implementation of a leak detection and repair programme
- iii. Discontinuing hydrant and main flushing

d. Selection of individual household inspection for repairs



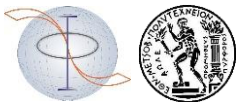
D. Emergency Water Supplies (1)

a. Inter-use transfers

- i. Purchase of water rights of farmers
- ii. Planned reallocation of irrigation to municipal use
- iii. Water trade - water banks

b. Inter-district transfers

- i. Emergency interconnections
- ii. Importation of water by trucks
- iii. Importation of water by railroad cars



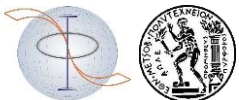
D. Emergency Water Supplies (2)

c. Cross-purpose diversions

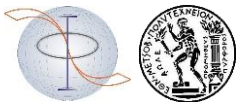
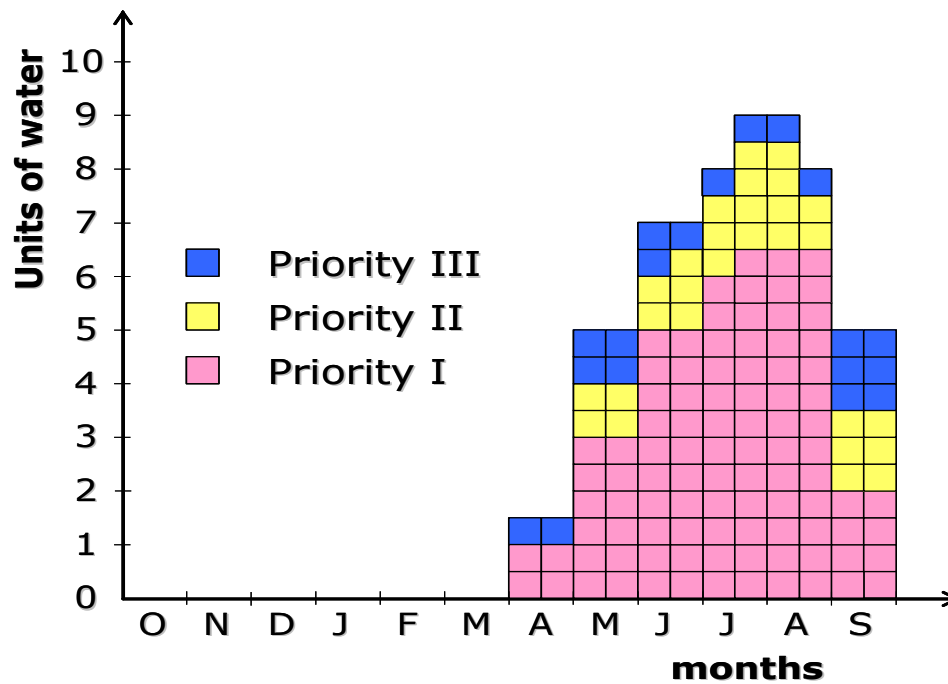
- i. Reduction of reservoir releases for hydropower production
- ii. Reduction of reservoir releases for flood control
- iii. Diversion of water from recreation water bodies
- iv. Relaxation of minimum streamflow requirements

d. Auxiliary emergency sources

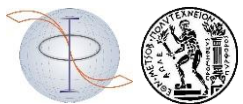
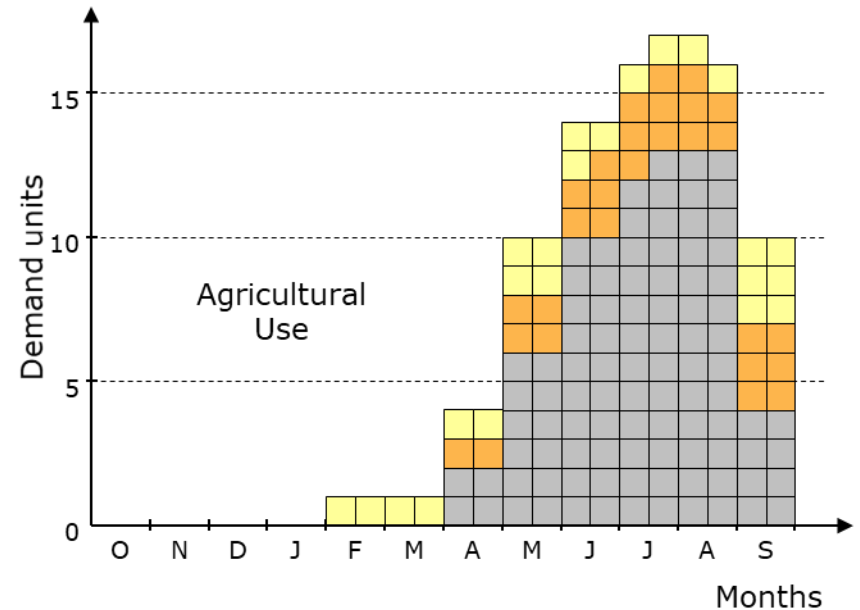
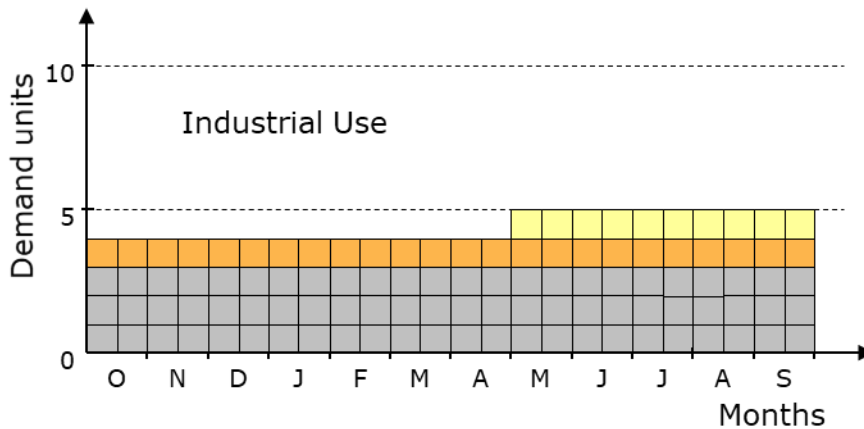
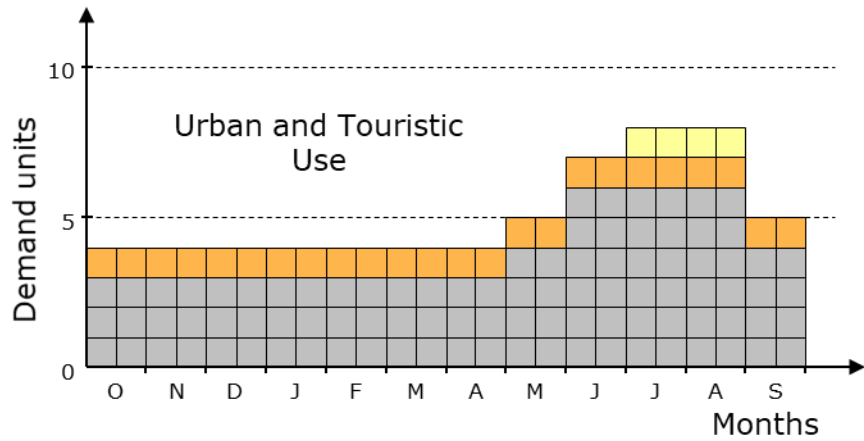
- i. Utilization of untapped creeks, ponds and quarries
- ii. Utilization of dead reservoir storage
- iii. Construction of a temporary pipeline to an abundant source of water (major river)



E. Prioritisation of Water Demand



Prioritisation of Water Demand



Institutional Issues

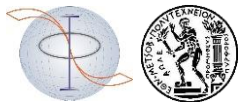
Technocratic dimension

Institutional context

Implementation process

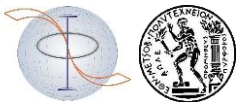


RBOs → Special Task Force



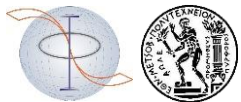
Organisational Process

- Decentralised decisions
- Commitment of governments
- Acceptance of shared responsibilities
- Active participation of stakeholders



Concluding Remarks

- Proactive approach for combating droughts
- Comprehensive methodology:
 - drought severity – system vulnerability & risk
- Tools:
 - drought indices, DPSIR, annualised risk
- Organisational aspects:
 - preparedness and contingency plans
 - decentralised structure
 - participation of stakeholders
- More systematic efforts needed in WFD implementation (2016-2021)



SWIM and Horizon 2020 Support Mechanism

Working for a Sustainable Mediterranean, Caring for our Future

Thank you for your attention.

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